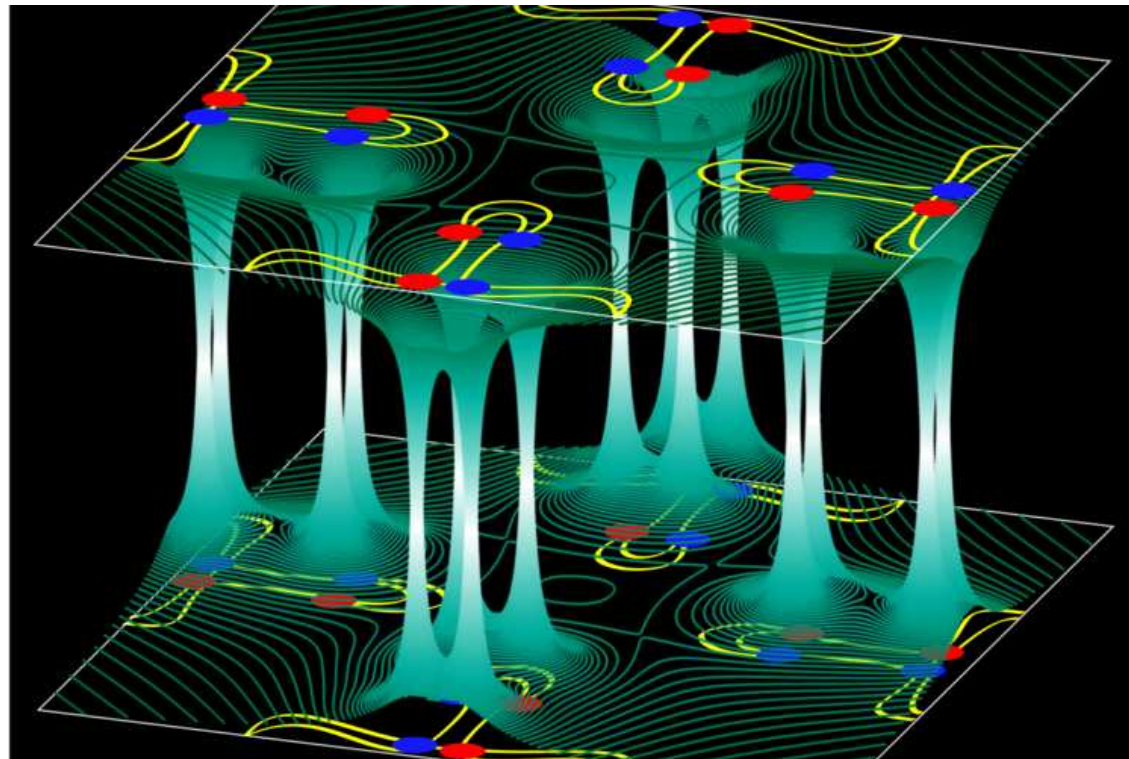


# Bound states in semi-Dirac semi-metals

David KREJČIŘÍK

<http://people.fjfi.cvut.cz/krejcirik>

*Czech Technical University in Prague*



Yazdani Lab - Princeton University

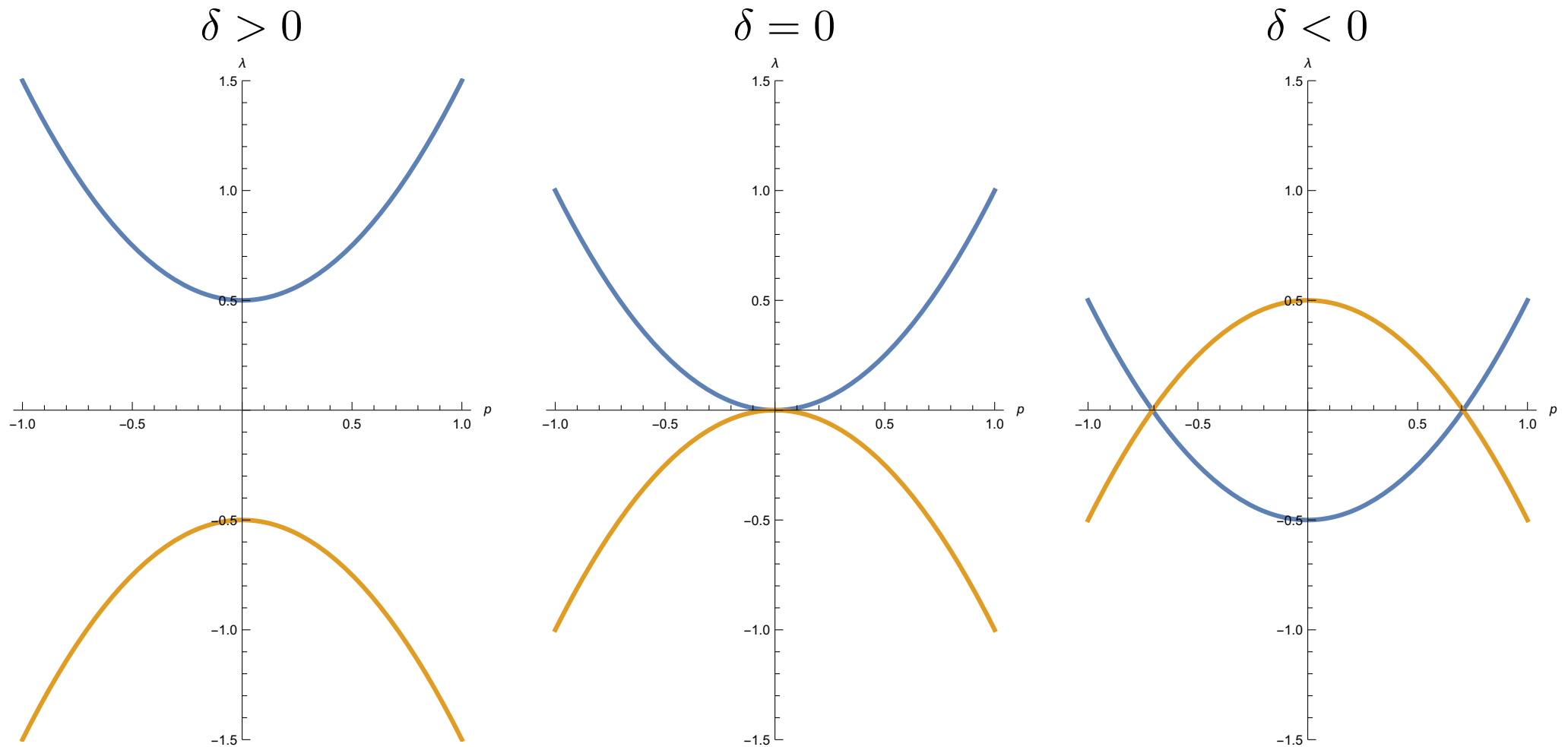
Based on: [Physics Letters A](#) (2021) [with [P. R. S. Antunes](#)]

# Conventional materials

$$H = \begin{pmatrix} 0 & p^2 + \delta \\ p^2 + \delta & 0 \end{pmatrix} \quad \text{in } L^2(\mathbb{R}; \mathbb{C}^2)$$

$$\sigma(H) = \pm \bigcup_{p \in \mathbb{R}} (p^2 + \delta)$$

**quadratic dispersion**

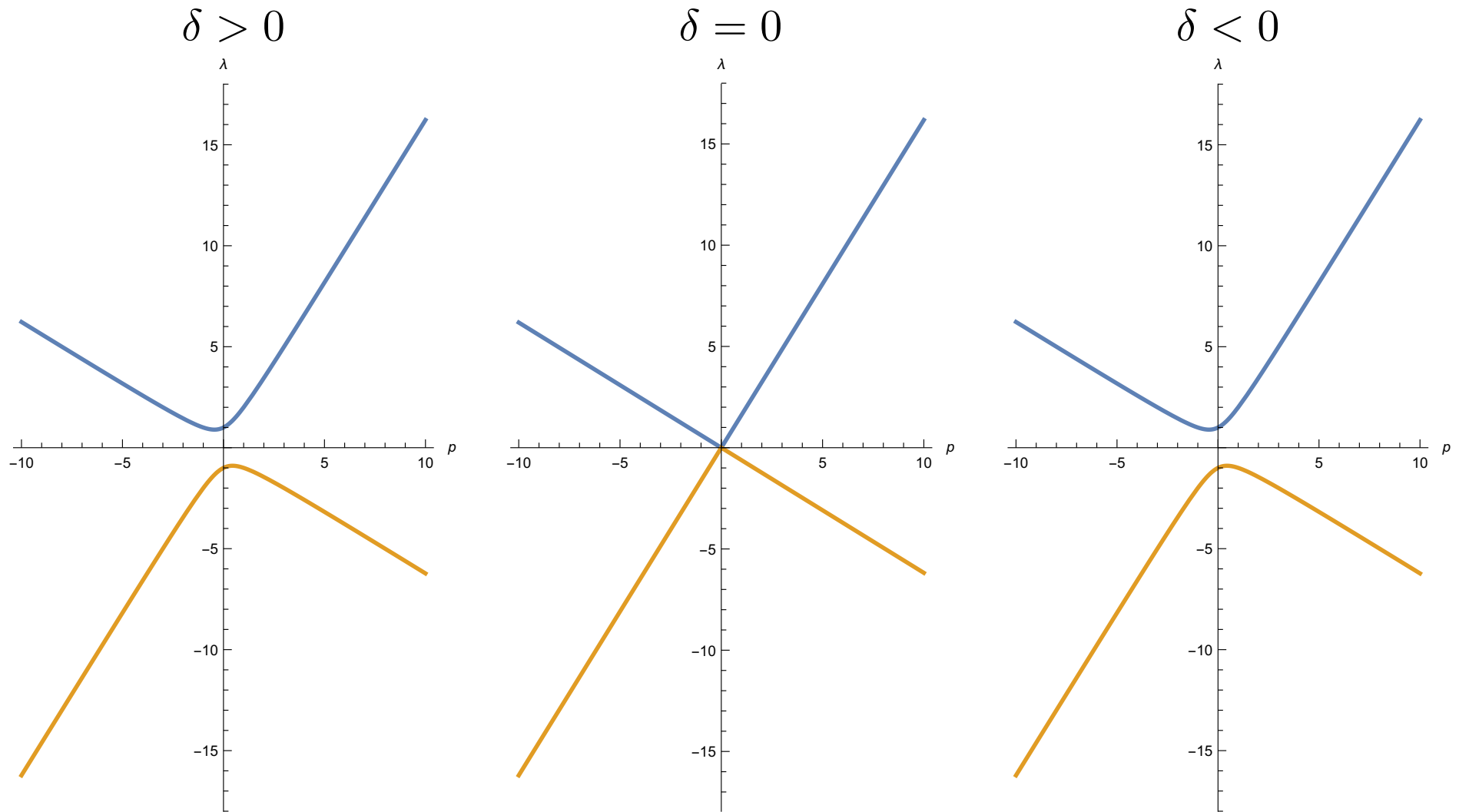


# Dirac materials

$$H = \begin{pmatrix} p & \delta \\ \delta & -p \end{pmatrix} \text{ in } L^2(\mathbb{R}; \mathbb{C}^2)$$

$$\sigma(H) = \bigcup_{p \in \mathbb{R}} \frac{p \pm \sqrt{5p^2 + 4\delta^2}}{2}$$

**linear dispersion**

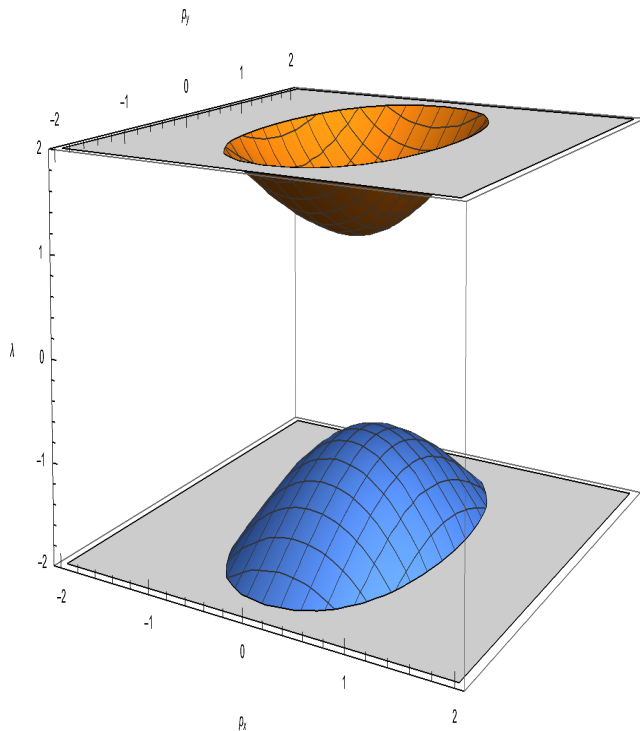


# semi-Dirac semi-metals

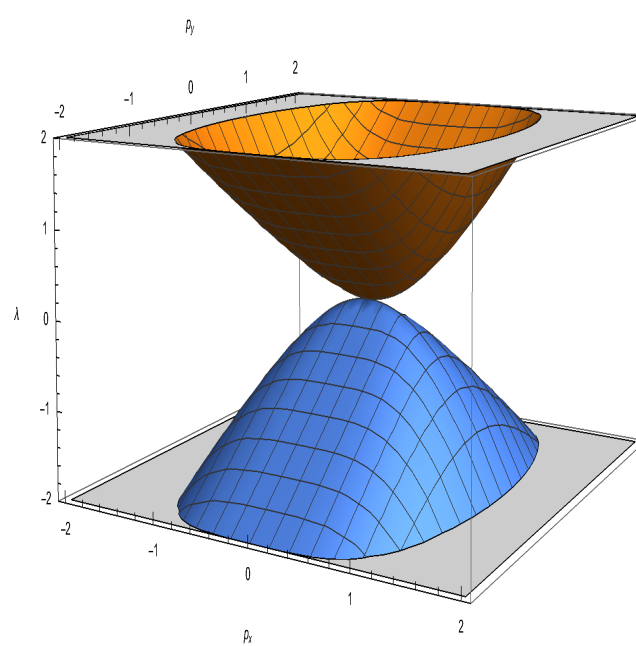
$$H = \begin{pmatrix} p_y & p_x^2 + \delta \\ p_x^2 + \delta & -p_y \end{pmatrix} \quad \text{in } L^2(\mathbb{R}^2; \mathbb{C}^2) \quad \sigma(H) = \pm \bigcup_{p_x, p_y \in \mathbb{R}} \sqrt{(p_x^2 + \delta)^2 + p_y^2}$$

**linear and quadratic dispersions in orthogonal directions**

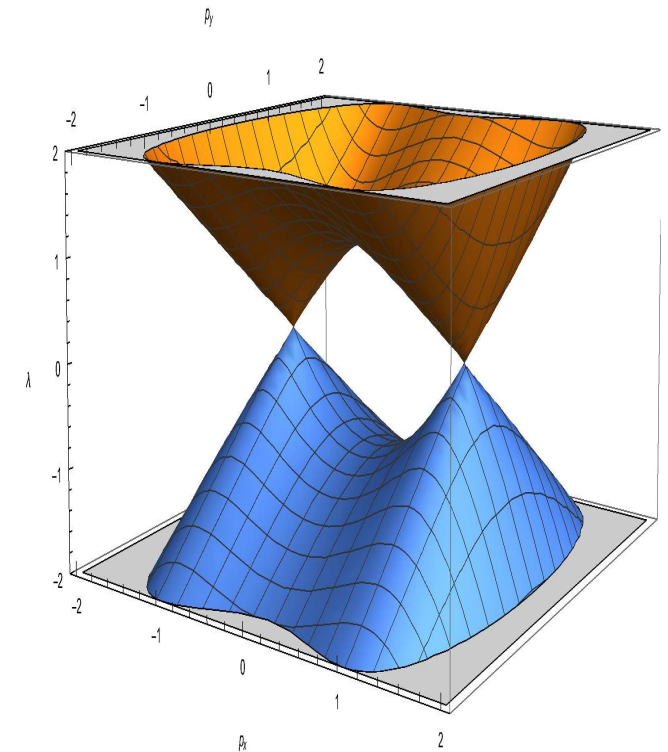
$\delta > 0$



$\delta = 0$



$\delta < 0$



# Basic spectral properties

$$H_0 := \begin{pmatrix} -i\partial_y & -\partial_x^2 + \delta \\ -\partial_x^2 + \delta & i\partial_y \end{pmatrix} \quad \text{in } \mathcal{H} := L^2(\mathbb{R}^2; \mathbb{C}^2)$$

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$$H_\varepsilon := H_0 + \varepsilon V \quad \varepsilon \geq 0, \quad V := \begin{pmatrix} V_{11} & V_{12} \\ V_{21} & V_{22} \end{pmatrix} \in L^\infty(\mathbb{R}^2; \mathbb{C}^{2 \times 2})$$



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- $\lambda \in \sigma(H_\varepsilon) \quad \iff \quad \lambda^2 \in \sigma(H_\varepsilon^2)$

# Sufficient conditions

$$I_\varepsilon^+ := \int_{\mathbb{R}^2} (\varepsilon^2 |V_{11}|^2 + \varepsilon^2 |V_{12}|^2 + 2\delta\varepsilon \Re V_{12})$$

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*Remark.* The method additionally yields quantitative bounds.

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Using  $\|R^{1/2} \psi_1\|^2 \leq \|R\| \|\psi_1\|^2 = \delta^{-1} \|\psi_1\|^2$ , one gets  $\delta^2 \leq E^2$ . *q.e.d.*

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Then  $H_{\varepsilon}\psi = E\psi$  in  $\mathcal{H}$   $\iff$   $\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix} \begin{pmatrix} \mathbf{a} \\ \mathbf{b} \end{pmatrix} = E \begin{pmatrix} D & \mathbf{0} \\ \mathbf{0} & D \end{pmatrix} \begin{pmatrix} \mathbf{a} \\ \mathbf{b} \end{pmatrix}$  in  $\ell^2$ .

$$\mathbf{a} := \{a_j\}_{j=1}^{\infty}$$

$$\mathbf{b} := \{b_j\}_{j=1}^{\infty}$$

$$C_{11} := \left\{ (\varphi_k, -i\partial_y\varphi_j) + (\varphi_k, \varepsilon V_{11}\varphi_j) \right\}_{k,j=1}^{\infty}$$

$$C_{12} := \left\{ (\varphi_k, (-\partial_x^2 + \delta)\varphi_j) + (\varphi_k, \varepsilon V_{12}\varphi_j) \right\}_{k,j=1}^{\infty}$$

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$$\psi_1 = \sum_{j=1}^{\infty} a_j \varphi_j, \quad \psi_2 = \sum_{j=1}^{\infty} b_j \varphi_j.$$
$$a_j := (\varphi_j, \psi_1) \quad b_j := (\varphi_j, \psi_2)$$

Then  $H_\varepsilon \psi = E\psi$  in  $\mathcal{H}$   $\iff$   $\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix} \begin{pmatrix} \mathbf{a} \\ \mathbf{b} \end{pmatrix} = E \begin{pmatrix} D & \mathbf{0} \\ \mathbf{0} & D \end{pmatrix} \begin{pmatrix} \mathbf{a} \\ \mathbf{b} \end{pmatrix}$  in  $\ell^2$ .

$$\mathbf{a} := \{a_j\}_{j=1}^{\infty}$$

$$\mathbf{b} := \{b_j\}_{j=1}^{\infty}$$

$$C_{11} := \left\{ (\varphi_k, -i\partial_y \varphi_j) + (\varphi_k, \varepsilon V_{11} \varphi_j) \right\}_{k,j=1}^{\infty}$$

$$C_{12} := \left\{ (\varphi_k, (-\partial_x^2 + \delta) \varphi_j) + (\varphi_k, \varepsilon V_{12} \varphi_j) \right\}_{k,j=1}^{\infty}$$

$$C_{21} := \left\{ (\varphi_k, (-\partial_x^2 + \delta) \varphi_j) + (\varphi_k, \varepsilon V_{21} \varphi_j) \right\}_{k,j=1}^{\infty}$$

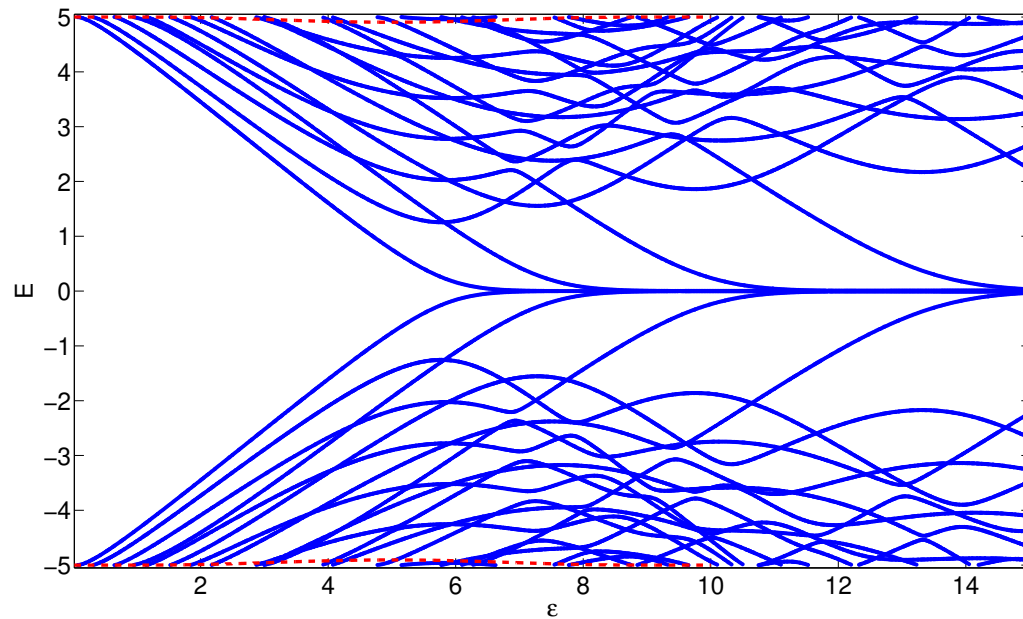
$$C_{22} := \left\{ (\varphi_k, i\partial_y \varphi_j) + (\varphi_k, \varepsilon V_{22} \varphi_j) \right\}_{k,j=1}^{\infty}$$

$$D := \left\{ (\varphi_k, \varphi_j) \right\}_{k,j=1}^{\infty}$$

Numerical approximation:  $\infty \longrightarrow N < \infty$ .

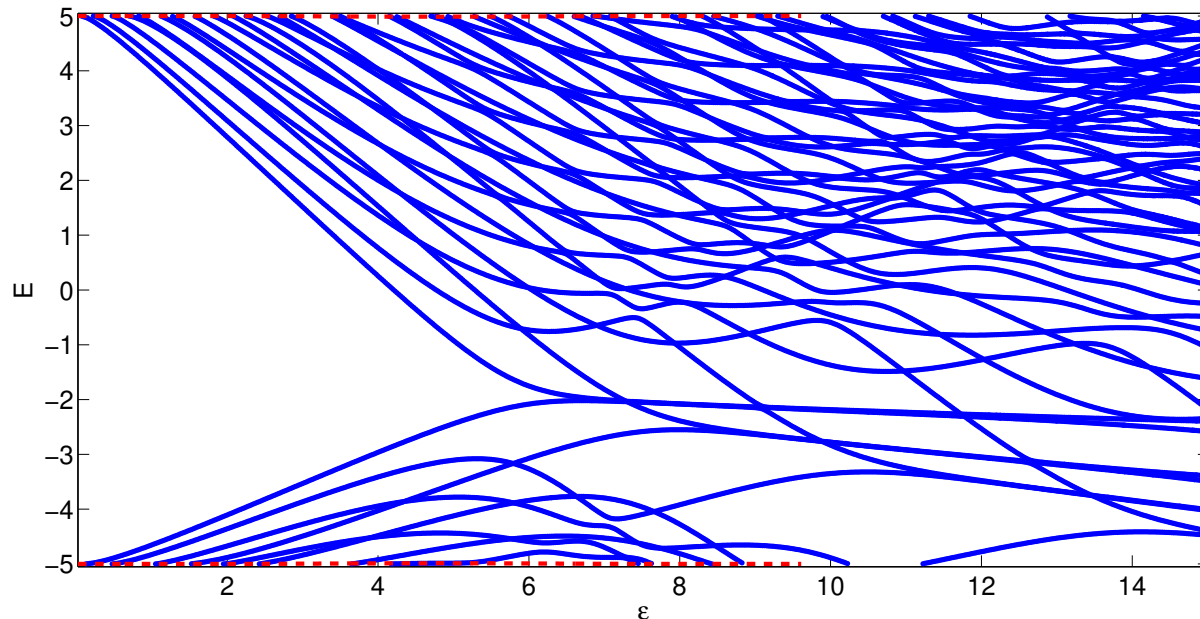
# Numerical results: eigenvalues

$$\delta = 5$$



$$V_{11} = 0 = V_{22}$$

$$V_{21} = -\chi_{B_2}$$



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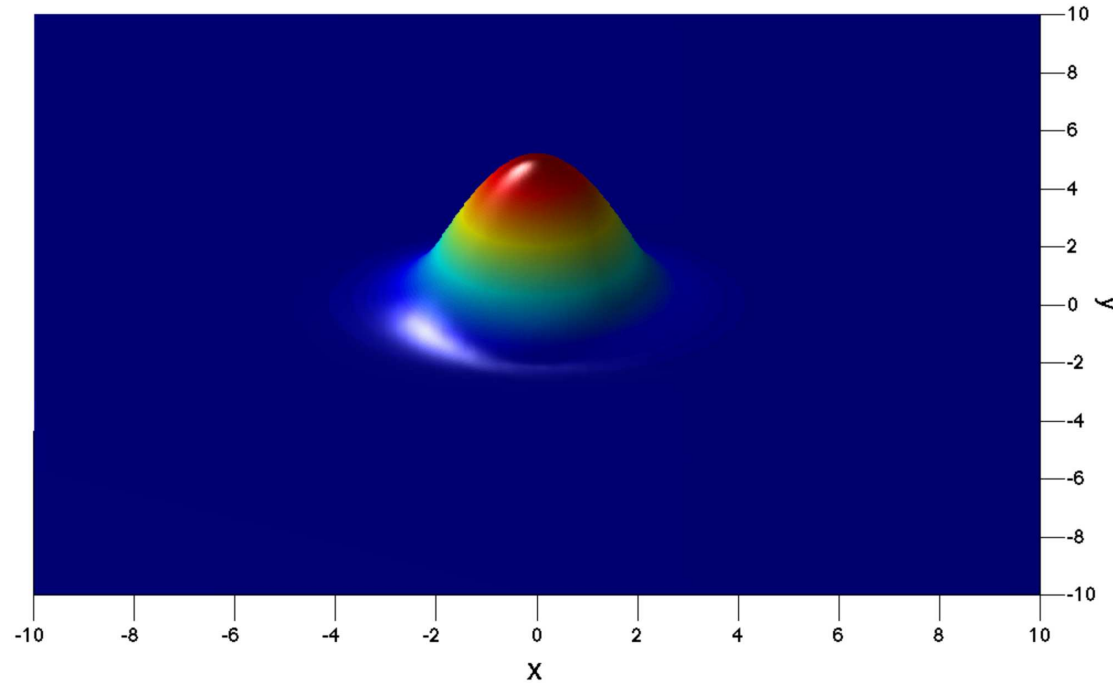
$$V_{11} = 0.2\chi_{B_2}$$

$$V_{22} = -0.9\chi_{B_2}$$



# Numerical results: eigenfunctions

$|\psi\rangle$



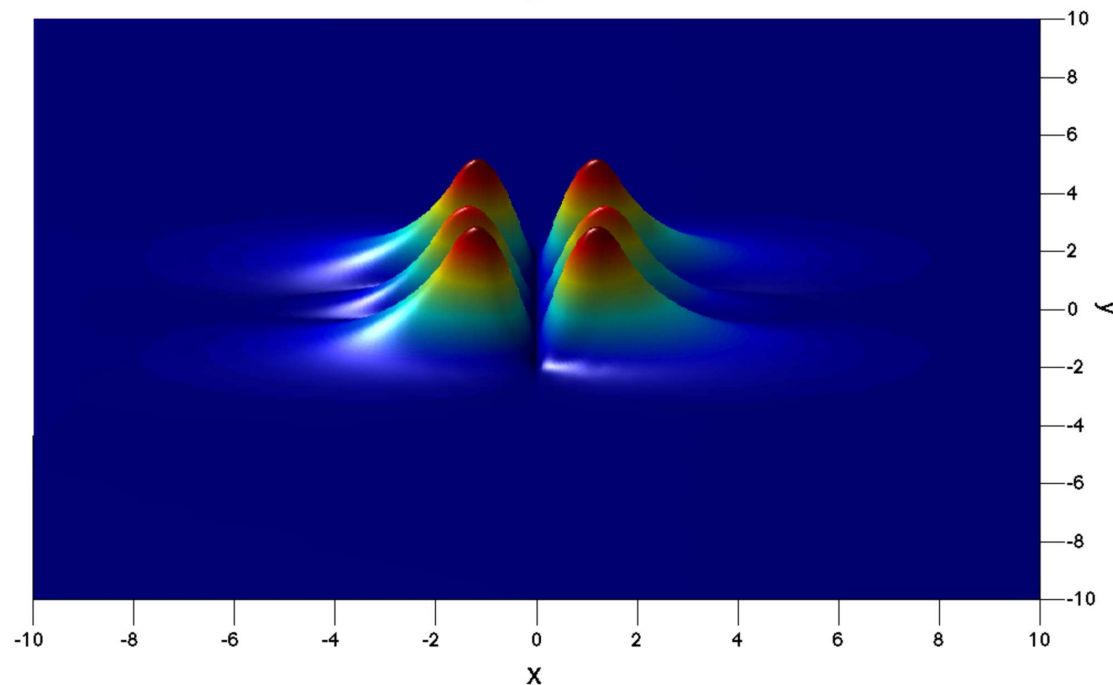
$$\delta = 5$$

$$E \approx 2.9893$$

$$V_{11} = 0 = V_{22}$$

$$V_{21} = -\chi B_2$$

$$\varepsilon = 2.5$$



$$E \approx 4.8284$$

# Conclusions



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Moral : new type of hybrid materials:  
simultaneously **quadratic** and **linear** dispersions  
*semi-metal* *semi-Dirac*



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- ¿ waveguides, non-self-adjointness, *etc.* ?



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